
Corporate Compliance, Ethics, and Deficit Reduction Act Test

1. Corporate Compliance is:
 - a. A willingness to sacrifice personal interest for the good of the business
 - b. A set of guidelines to help agencies follow federal and state laws, and the rules of Federal, state and private health plans
 - c. The agreement that corporations allow doctors to make final decisions
 - d. A set of guidelines to help agencies follow the rules of private health plans

2. Some benefits of corporate compliance programs are:
 - a. Identifying and stopping criminal and unethical behavior
 - b. Encouraging those trained by Shiawassee Health & Wellness to report potential problems
 - c. Limiting activities that may cause legal or ethical problems
 - d. Building a sense of teamwork
 - e. A, B and C

3. Which of the following are components of a corporate compliance program?
 - a. Enforcement – making sure rules are followed
 - b. Effective employee education
 - c. All those trained by Shiawassee Health & Wellness have access to all written rules.
 - d. A, B and C
 - e. B and C only

4. Which of the following activities should be reported?
 - a. Accepting bribes
 - b. Billing for more time or services than actually provided
 - c. Providing treatment without a valid license
 - d. A and C only
 - e. A, B, and C

5. Identifying and preventing fraud, abuse and waste is the purpose of Corporate Compliance programs.
 - a. True
 - b. False

6. A benefit of Corporate Compliance programs is that organizations show that they are committed to being honest and responsible.
 - a. True
 - b. False

7. Ethics is simply a matter of what is legal or illegal.
 - a. True
 - b. False
8. Compliance is a matter of following laws, ethical standards, and professional guidelines.
 - a. True
 - b. False
9. Compliance policies do not need to be written down and distributed to those trained by Shiawassee & Wellness.
 - a. True
 - b. False
10. Those trained by Shiawassee Health & Wellness are responsible for reporting wrongdoing and suspected wrongdoing.
 - a. True
 - b. False
11. A mistake in record-keeping cannot be seen as fraud.
 - a. True
 - b. False
12. The Federal government is more closely investigating possible wrongdoing than in the past.
 - a. True
 - b. False
13. Whistle-blowers are protected by Federal and state law.
 - a. True
 - b. False
14. Which of the following statements below is NOT an accurate reflection of ethical business practices?
 - a. Accurate billing procedure
 - b. Accurate filing of claims
 - c. Billing for services not rendered
 - d. Accurate medical record documentation
15. Fraud is an unintentional act that results in unfair gain or benefit.
 - a. True
 - b. False
16. _____ is a set of moral principles and values. It is the discipline of dealing with right and wrong.
 - a. Fraud
 - b. Ethics
 - c. Morals
 - d. None of the above

17. As those trained by Shiawassee Health & Wellness, which of the following should you do when you witness fraud?
- Report cases of actual fraud.
 - Ignore cases of suspected fraud.
 - Follow the policies and procedures.
 - Contact a designated person.
 - A, C & D
18. Which of the following should you do to prevent instances that could be interpreted as fraud?
- Follow policies and procedures.
 - Document accurately.
 - Cooperate in internal audits.
 - Know how to do your job.
 - All of the above.
19. An individual can be held liable for knowingly making a false claim.
- True
 - False
20. Individuals who report fraud and abuse by their organization may be discharged in retaliation.
- True
 - False
21. Which of the following are examples of giving false information?
- Duplicate billing
 - False codes on healthcare visits to obtain higher reimbursement
 - Claims for home visits
 - A & B
22. Healthcare organizations' policies and procedures must include _____ to comply with the DRA requirements.
- The federal False Claims Act
 - Administrative remedies for false claims
 - State laws relating to false claims
 - Whistleblower protections
 - All of the above
23. One of the obvious weaknesses of the Affordable Care Act is the lack of anti-fraud provisions.
- True
 - False
24. At Shiawassee Health & Wellness, those trained by SHW can report suspected fraud or abuse by:
- Calling the Corporate Compliance Hotline
 - Report using the designated form
 - Telling the Corporate Compliance Officer directly through the open door policy

- d. Faxing a complaint to the designated Corporate Compliance fax
 - e. All of the above
25. Habitual or re-occurring billing “mistakes” could be interpreted as fraud.
- a. True
 - b. False
26. The Affordable Health Care Act promotes the use of private sector investigators by the Federal government to reduce and eliminate fraud.
- a. True
 - b. False
27. The Affordable Care Act coordinates and consolidates fraud fighting efforts across Medicaid and Medicare.
- a. True
 - b. False
28. The designated Corporate Compliance Officer for Shiawassee Health & Wellness is?
- a. Lindsey Hull
 - b. Vickey Hoffman
 - c. Sibylle Thornton
 - d. Trish Bloss
 - e. None of the above