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## *Corporate Compliance, Ethics, and Deficit Reduction Act Test*

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1. Corporate Compliance is:
  - a. A willingness to sacrifice personal interest for the good of the business
  - b. A set of guidelines to help agencies follow federal and state laws, and the rules of Federal, state and private health plans
  - c. The agreement that corporations allow doctors to make final decisions
  - d. A set of guidelines to help agencies follow the rules of private health plans
  
2. Some benefits of corporate compliance programs are:
  - a. Identifying and stopping criminal and unethical behavior
  - b. Encouraging those trained by Shiawassee Health & Wellness to report potential problems
  - c. Limiting activities that may cause legal or ethical problems
  - d. Building a sense of teamwork
  - e. A, B and C
  
3. Which of the following are components of a corporate compliance program?
  - a. Enforcement – making sure rules are followed
  - b. Effective employee education
  - c. All those trained by Shiawassee Health & Wellness have access to all written rules.
  - d. A, B and C
  - e. B and C only
  
4. Which of the following activities should be reported?
  - a. Accepting bribes
  - b. Billing for more time or services than actually provided
  - c. Providing treatment without a valid license
  - d. A and C only
  - e. A, B, and C
  
5. Identifying and preventing fraud, abuse and waste is the purpose of Corporate Compliance programs.
  - a. True
  - b. False
  
6. A benefit of Corporate Compliance programs is that organizations show that they are committed to being honest and responsible.
  - a. True
  - b. False

7. Ethics is simply a matter of what is legal or illegal.
  - a. True
  - b. False**
8. Compliance is a matter of following laws, ethical standards, and professional guidelines.
  - a. True**
  - b. False
9. Compliance policies do not need to be written down and distributed to those trained by Shiawassee & Wellness.
  - a. True
  - b. False**
10. Those trained by Shiawassee Health & Wellness are responsible for reporting wrongdoing and suspected wrongdoing.
  - a. True**
  - b. False
11. A mistake in record-keeping cannot be seen as fraud.
  - a. True
  - b. False**
12. The Federal government is more closely investigating possible wrongdoing than in the past.
  - a. True**
  - b. False
13. Whistle-blowers are protected by Federal and state law.
  - a. True**
  - b. False
14. Which of the following statements below is NOT an accurate reflection of ethical business practices?
  - a. Accurate billing procedure
  - b. Accurate filing of claims
  - c. Billing for services not rendered**
  - d. Accurate medical record documentation
15. Fraud is an unintentional act that results in unfair gain or benefit.
  - a. True
  - b. False**
16. \_\_\_\_\_ is a set of moral principles and values. It is the discipline of dealing with right and wrong.
  - a. Fraud
  - b. Ethics**
  - c. Morals
  - d. None of the above

17. As those trained by Shiawassee Health & Wellness, which of the following should you do when you witness fraud?
- Report cases of actual fraud.
  - Ignore cases of suspected fraud.
  - Follow the policies and procedures.
  - Contact a designated person.
  - A, C & D**
18. Which of the following should you do to prevent instances that could be interpreted as fraud?
- Follow policies and procedures.
  - Document accurately.
  - Cooperate in internal audits.
  - Know how to do your job.
  - All of the above.**
19. An individual can be held liable for knowingly making a false claim.
- True**
  - False
20. Individuals who report fraud and abuse by their organization may be discharged in retaliation.
- True
  - False**
21. Which of the following are examples of giving false information?
- Duplicate billing
  - False codes on healthcare visits to obtain higher reimbursement
  - Claims for home visits
  - A & B**
22. Healthcare organizations' policies and procedures must include \_\_\_\_\_ to comply with the DRA requirements.
- The federal False Claims Act
  - Administrative remedies for false claims
  - State laws relating to false claims
  - Whistleblower protections
  - All of the above**
23. One of the obvious weaknesses of the Affordable Care Act is the lack of anti-fraud provisions.
- True
  - False**
24. At Shiawassee Health & Wellness, those trained by SHW can report suspected fraud or abuse by:
- Calling the Corporate Compliance Hotline
  - Report using the designated form
  - Telling the Corporate Compliance Officer directly through the open door policy

- d. Faxing a complaint to the designated Corporate Compliance fax
  - e. All of the above
25. Habitual or re-occurring billing “mistakes” could be interpreted as fraud.
- a. True
  - b. False
26. The Affordable Health Care Act promotes the use of private sector investigators by the Federal government to reduce and eliminate fraud.
- a. True
  - b. False
27. The Affordable Care Act coordinates and consolidates fraud fighting efforts across Medicaid and Medicare.
- a. True
  - b. False
28. The designated Corporate Compliance Officer for Shiawassee Health & Wellness is?
- a. Lindsey Hull
  - b. Vickey Hoffman
  - c. Sibylle Thornton
  - d. Trish Bloss
  - e. None of the above